

## CIRCULARS

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### RBI

- Ombudsman Scheme for Digital Transactions, 2019

### NSE

- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure (ASM)
- Applicable Exposure Margin
- Revised list of Cross Margin Eligible Exchange Traded Funds (ETFs)
- Revised list of Cross Margin Eligible Exchange Traded Funds (ETFs)
- Alignment of Trading Lot and Delivery Lot size
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Quantity Freeze Limits for Indices
- De-centralization of Membership Compliance Activities
- Applicable MWPL, TM, FII/FPI & MF Limits

### BSE

- Admission of Trading Member in Currency Derivatives Segment

- Enhanced supervision uploading client's funds and securities balance for the month of January 31, 2019.
- Market Wide Position Limits for Equity Derivatives Segment
- Order in the matter of KGN, Gemstone and Polytex
- Applicability of Additional Surveillance Measure
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Change in EMDI/ EOBI multicast IP of certain products in equity and equity derivatives segment
- Admission of Member in Cash Segment
- Payment of SEBI Turnover Fees of Equity Segment/Equity Derivatives/Currency Derivatives Segment/Commodity Derivatives Segment/Interest Rate Derivatives/New Debt Segment/Repo Segment
- Alignment of Trading Lot and Delivery Lot size.
- Applicability of Additional Surveillance Measure

## ICEX

- No New Circular

## MCX

- Cyber Security & Cyber Resilience Audit of Member
- Procedure for dealing with Aluminium Ingots at MCXCCL Accredited Warehouses
- Revision in Fees & Deposit Structure for Membership and Levy of Processing Fees for Issue of Status Report
- Revision in Fees & Deposit Structure & Introduction of Processing Fees for Status Report Request
- Revision in Cash Deposit provided by Clearing Member for each affiliated Trading Member

## SEBI

- Order in the matter of Shreekrishna Biotech Limited
- Adjudication Order in respect to A K Investments in the matter of Dealings in the Illiquid stock options at BSE
- Settlement Order in respect to Vikas Gupta in the matter of Amulya Leasing & Finance Ltd.
- Settlement Order in respect to Saket Agarwal in the matter of Amulya Leasing & Finance Ltd.
- Order in the matter of Maitreya Plotters and Structures Pvt. Ltd.
- Order in the matter of buy back of securities in Oil India Limited
- Adjudication Order in respect of Nirshilp Commodities and Trading Pvt Ltd (earlier known as Nirshilp Securities Pvt Ltd) and Shailesh Shah Securities Pvt Ltd in the matter of Denso (India) Limited
- Adjudication Order in the matter of Le-waterina Resorts & Hotels
- Order in the matter of Polytex India Limited, Gemstone Investments Limited and KGN Enterprises Limited
- Settlement Order in respect of Paisalo Digital Limited (formerly known as S. E. Investments Limited) in the matter of Varun Industries Limited
- Adjudication Order in respect of Anil Khanna in the matter of Polaris Software Lab Limited
- Adjudication Order against 3 entities in the matter of Filatex Fashions Limited
- Adjudication Order in respect of Maa Samleswari Industries Private Limited in the matter of dealing in Illiquid Stock Options at the BSE
- Adjudication Order in respect of Ajit Bhushan in the matter of Polaris Software Lab Limited
- Adjudication Order dated January 30, 2019, in respect of M/s. Evergreen Technologies in the matter of Its dealings in Illiquid Stock Options at BSE
- Adjudication Order against Prognosis Securities Limited
- Adjudication Order in respect of Abhay Agarwal in the matter of Polaris Software Lab Limited

- [Adjudication Order in respect of respect of Mr. Yashwant T Sarkhot in the matter of Finalysis Credit & Guarantee Company Limited](#)
- [Adjudication Order in respect of Phool Chand Jain in the matter of Illiquid Stock Options at BSE](#)
- [Adjudication Order in respect of Avro Commercial Company Limited in the matter of Akar Auto Industries Ltd](#)
- [Adjudication Order in respect of Pasha Finance Pvt., Ltd., in the matter of Illiquid Stock Options at BSE](#)
- [Adjudication Order in respect of PAT Financial Consultants Pvt., Ltd., in the matter of Illiquid Stock Options at BSE](#)

### NSDL

- No New Circulars

### NCDEX

- [Settlement Price of Guarseed Option Contract expired on January 30 2019](#)
- [Settlement Price of Guarseed Option Contract expired on January 30 2019](#)
- [Application for Surrender of membership](#)
- [Final Settlement Price of Crude Palm Oil contract expired on January 31 2019](#)
- [Futures & Options Contracts Launch in February 2019 and Premium / Discount for grade / delivery location difference](#)

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